



**NORTHERN BORDER REGIONAL COMMISSION**  
**2016 ECONOMIC AND INFRASTRUCTURE DEVELOPMENT**  
**INVESTMENT PROGRAM**

**APPLICATION**

To best complete this application, please refer to the 2016 Program Manual found at [www.nbr.gov](http://www.nbr.gov).

Send application to:

[upload.2016\\_EI.dvtbo6h9iy@u.box.com](mailto:upload.2016_EI.dvtbo6h9iy@u.box.com)

or by US mail to EID Application, Northern Border Regional Commission, 53 Pleasant Street,  
Suite 3602, Concord, NH 03301.

**APPLICATION DEADLINE: 5:00PM ON JUNE 17th, 2016**

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CFDA # 90.601

**I. General Information**

1. NAME OF ENTITY APPLYING FOR FUNDING: \_\_\_\_\_
  
2. CO-APPLICANT IF APPLICABLE: \_\_\_\_\_
  
3. AUTHORIZED OFFICIAL:
  - A. Name: \_\_\_\_\_
  - B. Title: \_\_\_\_\_
  - C. Street/PO Box: City: \_\_\_\_\_
  - D. State: \_\_\_\_\_
  - E. ZIP: \_\_\_\_\_
  - F. Phone: \_\_\_\_\_
  - G. Email Address: \_\_\_\_\_
  
4. PROJECT PRIMARY CONTACT (if different from authorized official)
  - A. Name: \_\_\_\_\_
  - B. Organization if different from above: \_\_\_\_\_
  - C. Street/PO Box: \_\_\_\_\_
  - D. City/State/ZIP: \_\_\_\_\_

E. Email Address: \_\_\_\_\_

F. Phone: \_\_\_\_\_

5. AMOUNT BEING REQUESTED FROM NORTHERN BORDER REGIONAL COMMISSION FOR THIS APPLICATION: \_\_\_\_\_

6. WHAT LOCAL DEVELOPMENT DISTRICT IS THE ENTITY LOCATED IN? Refer to the Program Manual for a list of Local Development Districts.

\_\_\_\_\_

7. WAS THE APPLICANT REQUIRED TO SUBMIT A SINGLE AUDIT IN THE PAST 12 MONTHS?

YES

NO

## II. Project Information

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8. TIMELINE: Anticipated Start date: \_\_\_\_\_ Anticipated end date: \_\_\_\_\_

9. CONGRESSIONAL DISTRICT

A. State (that the project is located in): \_\_\_\_\_

B. Congressional District(s) (that the project is located in): \_\_\_\_\_

10. WHAT COUNTY OR COUNTIES WILL THE PROJECT TAKE PLACE IN? List all the counties that the project will be in.

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11. PROJECT DESCRIPTION

In 50 words or less, describe what the project is and what it will accomplish; in a concise manner explain both the outputs that will be completed and the intended results (outcome) that are anticipated by the investment. (e.g *The Project will replace ~1.3 miles of 36" pipe with ~1.3 miles of 48" pipe along Broad Street (output) improving transmission to the Wastewater Treatment Plant in Cheshire City increasing capacity in order to allow future development and create jobs along Broad Street benefitting all of Beanbag County and extended area. (outcome)*)

12. WHAT IS THE TYPE OF ACTIVITY THAT WILL BE IMPLEMENTED?

CONSTRUCTION  NON-CONSTRUCTION

13. WILL THE ACTIVITY RESULT IN INFRASTRUCTURE?

YES  NO

14. WILL THE PROJECT CREATE OR RETAIN JOBS?

YES  NO

15. WILL THE PROJECT IMPROVE WATER OR SEWER SERVICE?

YES

NO

16. WILL THE PROJECT TRAIN INDIVIDUALS AS PART OF A WORKFORCE DEVELOPMENT PROGRAM?

YES

NO

**17. PROJECT FOCUS**

Please reference at least one of the “Eligible Purposes” outlined in Section I.6 of the Program Manual. Explain how the project is consistent with this/these funding categories.



## 18. ECONOMIC IMPACT OF THE PROJECT

Provide justification that the outcomes anticipated by the NBRC investment that will result in positive economic growth for the region, and cite what the basis is for the justification. Explain how the project may assist in any of the following:

- create and/or retain jobs
- raise per capita income
- lower poverty in the region
- lower regional unemployment
- lower regional outmigration rates

## 19. OUTCOMES OF THE PROJECT

Outcome measurements (often also referred to as Performance Measures) are documentable ways to assess the extent to which a program/project has achieved its intended results. The main questions addressed in outcome measurement are:

- A. What has changed in the lives of individuals, families, organizations, or the community as a result of this program?
- B. Has this project made a positive economic impact?
- C. How are the lives of program participants better as a result of the program?

List between 2 and 4 Outcome Measures that can demonstrate the impact of your investment over time. See the Program Manual for information and examples of measures. Note: Make sure these are reasonable and obtainable, as the success of your project will be gaged in the future on the measurements that you choose. Please provide sources or justifications for the outcomes that you arrive at.

## 20. WORK PLAN

Provide a Work Plan that lists outputs (tasks) that will be completed in order to achieve the Outcome Measures outlined in #19. Provide a brief overview of how the tasks will lead to the outcomes the you have outlined. For guidance and examples refer to the Program Manual, Section II.I.6.

## 21. REGIONAL PLAN

Provide a link to the regional plan, comprehensive economic development plan, or other regional planning document that supports the project, and explain how the project is consistent with the goals of the plan? (If unaware of a planning document within your region contact your Local Development District, found in the Program Manual)

## 22. STATE PLANNING

How does the project fit into a statewide economic development plan? For a list of State Plans and/or contacts see the Program Manual or contact the Program Manager within your State.

### III. Project Financing

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#### 23. PROJECT FINANCIAL OVERVIEW

**TOTAL PROJECT COSTS** (*this is the total project costs including what you are requesting from Northern Border Regional Commission*) : \_\_\_\_\_

**NBRC REQUESTED AMOUNT:** \_\_\_\_\_

**MATCH** (*this is TOTAL PROJECT COST minus NBRC REQUEST*): \_\_\_\_\_

#### 24. BUDGET:

In question #12 if you checked that this is a construction project fill out the Standard Form 424C. In question #12 if you checked that this is a non-construction project fill out the Standard Form 424A.

#### 25. IDENTIFY MATCH:

For detail of match (cost sharing) requirements and what qualifies as match see the Program Manual, Section I.11 thru 15. List all funding sources, their status (has the funding been approved and/or committed, pending - and what is the notification date?), and what is the type of match (cash, loan, grant, in-kind, etc.) Include as attachments to this application any letters of commitment, approval, etc.

26. WILL THERE BE ANY CAPITAL INVESTMENTS MADE BY PRIVATE COMPANIES? If yes, attach participation agreements provided if available.

27. ADMINISTRATION

Include 5% for the first \$100,000, and 1% for funds in excess of \$100,000 for investment administration. This amount will be reduced from your NBRC award. You will be required to use a Local Development District to administer your investment unless you are a State entity. **Include this in your budget** even if you don't currently have a relationship with an LDD. If the project is construction and you filled out an SF424A, include this amount in line 6.f. If the project is non-construction and you filled out an SF422C, include this amount in line 1. See Program Manual for more detail.

28. SUSTAINABILITY

How will the investment be sustained over time? (e.g. A water infrastructure project has been completed with NBRC funding in the Town of Somewhere. The Town of Somewhere has included future maintenance and service of the facility in their budget, and has an established a Capital Improvement Program to ensure that maintenance and issues will be covered.) A project is not deemed sustainable if it is dependent on future grants to meet its intended purpose.

29. **PROPERTY**

Will property or equipment be purchased with NBRC or matching funds for the project?  
(NOTE: an appraisal will be required prior to any land transaction taking place, signed off on by an MAI (Member Appraisal Institute) Appraiser or a Certified General Appraiser)

YES

NO

30. **OWNERSHIP**

Who will own any property or improvements resulting from the investment? Please provide the procedure, or instruments that will be put in place, to maintain public control. Elaboration on this may be found in the Program Manual.

### 31. SCOPE FLEXIBILITY

How might the project be modified with less funding from either NBRC and/or other anticipated match sources?

### 32. FINANCIAL NEED

Describe efforts to fund this project with other sources, what other sources have you applied for and what is the status of these? What local efforts have been made to fund the project? Describe in detail if any of the following may happen if you don't receive NBRC funding: project happens in a longer time frame, in a less desirable fashion, with lower probability of success, and/or would not happen at all without NBRC funding.



## **IV. Other Necessary Documentation**

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1. STANDARD FORM 424 APPLICATION FOR FEDERAL ASSISTANCE
2. DISCLOSURE OF LOBBYING ACTIVITIES
3. CERTIFICATION REGARDING DEBARMENT, SUSPENSION, AND OTHER RESPONSIBILITY MATTERS
4. CERTIFICATE OF GOOD STANDING (NON-PROFIT ORGANIZATIONS)
5. IRS DETERMINATION LETTER (NON-PROFIT ORGANIZATIONS)

## V. Authorization


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The NBRC requires a resolution by the applicant's legal authority providing authorization to the executive to make and sign this application to Northern Border Regional Commission. The resolution should also indicate the executive's permission to sign any and all NBRC investment documents that bind the applicant. Legal authorities include: selectboards and councils for municipalities; commissions for counties; state authorized officials or boards for states; boards of directors or trustees for non profits.

**By signing this application, applicant acknowledges and affirms the following statements:**

- The applicant is not legally organized as a for-profit entity, LLC, Sole Proprietorship, Individual or any other entity excluded from receiving federal grants or awards;
- The applicant has no outstanding federal debts, liens or encumbrances;
- The applicant is in good standing with its single audit requirements, if applicable;
- Any conflicts of interest have been disclosed in writing prior to the submission of this application;
- No application preparation or consultant fees shall be paid from NBRC awarded funds;
- Submission of this application does not constitute a contract or guaranteed funding from NBRC;
- Local Development Districts (LDDs), serving as project administrators shall be entitled to fees amounting to 5% of the first \$100,000 and 1% thereafter, of the total NBRC awarded amount, unless the applicant is a state entity;
- No expenses are eligible for reimbursement prior to the execution of the **Notice to Proceed**;
- That a Notice to Proceed will not be issued until match funding has been secured and documented;
- The project must be located within the NBRC service area and be a direct benefit to the area;
- All applicable state laws pertaining to contracting, procurement, and bidding process shall be adhered to; and
- The project does not relocate of businesses from one region to another;
- You have the legal authority to sign any and all NBRC Investment documents, to submit this application for funding and accept funds for the purposes outlined in this application;
- To the applicant's knowledge, the statements and information contained in this application are true and correct.

  
Authorized official's signature                      date

  
Witness    date

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## Completed Application Checklist

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1. Signed copy of this Application
2. Resolution to authorize the official to make and sign this application to Northern Border Regional Commission.
3. Standard Form 424 Application for Federal Assistance
4. Budget: SF424A (for non construction projects) OR SF424C (for construction projects) Federal
5. Assurances: SF 424B (for non construction projects) OR SF 424D (for construction projects)
6. Disclosure of Lobbying Activities SF LLL
7. Certification Regarding Debarment, Suspension, and Other Responsibility Matters NBRC Form 1001
8. Any Letters of Recommendation that will enhance the Application
9. For Non-profits: A. Certificate of Good Standing and B. IRS Determination Letter

Questions on this application can be directed to Christine Frost at 202-590-0807 or [cfrost@nbr-c.gov](mailto:cfrost@nbr-c.gov)

James Pross, Ward One  
Robert Stone, Ward Two  
Andy Titus, Ward Three  
Ernestine Gilbert, Ward Four



Leroy Walker, Ward Five  
Grady R. Burns, At Large  
David C. Young, At Large

Jonathan P. LaBonte, Mayor

IN CITY COUNCIL

RESOLVE 07-06062016

RESOLVED, that the City Council supports the application for a Economic and Infrastructure Development Investment grant by the Northern Borders Regional Commission and,

FURTHER RESOLVED, authorizes the Office of Community and Economic Development to prepare the grant application for a \$250,000, which will require a 50% match from the City and,

THEREFORE, the City Council authorizes the City Manager to sign the grant application.

A TRUE COPY

ATTEST

*Susan Clements Dallaire*  
Susan Clements-Dallaire, City Clerk 6/9/16



Passage on 6/6/2016 5-2 (Councilors Pross and Walker abstained).

**Application for Federal Assistance SF-424**

**\* 1. Type of Submission:**

- Preapplication
- Application
- Changed/Corrected Application

**\* 2. Type of Application:**

- New
- Continuation
- Revision

\* If Revision, select appropriate letter(s):

\* Other (Specify):

\* 3. Date Received:

4. Applicant Identifier:

5a. Federal Entity Identifier:

5b. Federal Award Identifier:

**State Use Only:**

6. Date Received by State:

7. State Application Identifier:

**8. APPLICANT INFORMATION:**

\* a. Legal Name:

City of Auburn, Maine

\* b. Employer/Taxpayer Identification Number (EIN/TIN):

01-6000018

\* c. Organizational DUNS:

086886173

**d. Address:**

\* Street1:

60 Court Street

Street2:

\* City:

Auburn

County/Parish:

\* State:

Maine

Province:

\* Country:

United States

\* Zip / Postal Code:

04096

**e. Organizational Unit:**

Department Name:

Economic and Community Development

Division Name:

Planning Office

**f. Name and contact information of person to be contacted on matters involving this application:**

Prefix:

\* First Name:

Douglas

Middle Name:

\* Last Name:

Greene

Suffix:

Title:

City Planner

Organizational Affiliation:

Staff

\* Telephone Number:

207-333-6601 ext. 1156

Fax Number:

207-333-6625

\* Email:

dgreene@auburnmaine.gov



**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

City Government

Type of Applicant 2: Select Applicant Type:

Type of Applicant 3: Select Applicant Type:

\* Other (specify):

**\* 10. Name of Federal Agency:**

Northern Border Regional Commission

**11. Catalog of Federal Domestic Assistance Number:**

90.601

CFDA Title:

Northern Border Regional Development Direct Grant

**\* 12. Funding Opportunity Number:**

90.601

\* Title:

Northern Border Regional Commission, 90.601

**13. Competition Identification Number:**

90.601

Title:

Northern Border Regional Commission

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

N/A

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

This project (Phase1) will acquire a key property, construct a new Riverway Road, fill in a flood plain, create a new 68 space parking lot (output), create new commercial and residential buildable space, retain jobs at 2 existing businesses and create new employee opportunities (outcome).

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

(See Attached maps of Phase 1 and Completed Project)

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant **ME**

\* b. Program/Project **ME-002**

Attach an additional list of Program/Project Congressional Districts if needed.

**N/A**

Add Attachment

Delete Attachment

View Attachment

**17. Proposed Project:**

\* a. Start Date: **Jan. 2017**

\* b. End Date: **Jan. 2019**

**18. Estimated Funding (\$):**

* a. Federal	<b>\$250,000</b>
* b. Applicant	<b>\$250,000</b>
* c. State	
* d. Local	
* e. Other	
* f. Program Income	
* g. TOTAL	<b>\$500,000</b>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

Yes  No

If "Yes", provide explanation and attach

Add Attachment

Delete Attachment

View Attachment

**21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)**

**\*\* I AGREE**

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name: **Howard**  
Middle Name:   
\* Last Name: **Kroll**  
Suffix:

\* Title: **City Manager**

\* Telephone Number: **207-333-1221** Fax Number: **207-333-6621**

\* Email: **hkroll@auburnmaine.gov**

\* Signature of Authorized Representative: 

\* Date Signed: **1/7/10**



## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**





**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29 U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.



11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL 	TITLE 
APPLICANT ORGANIZATION 	DATE SUBMITTED 

### BUDGET INFORMATION - Construction Programs

*NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.*

COST CLASSIFICATION	a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Columns a-b)
1. Administrative and legal expenses	\$ 5,000	\$ 0	\$ 5,000
2. Land, structures, rights-of-way, appraisals, etc.	\$ 140,000	\$ 0	\$ 140,000
3. Relocation expenses and payments	\$ 10,000	\$ 0	\$ 10,000
4. Architectural and engineering fees	\$ 30,000	\$ 0	\$ 30,000
5. Other architectural and engineering fees	\$ 0	\$ 0	\$ 0
6. Project inspection fees	\$ 17,500	\$ 0	\$ 17,500
7. Site work	\$ 206,972	\$ 0	\$ 206,972
8. Demolition and removal	\$ 25,000	\$ 0	\$ 25,000
9. Construction	\$ 0	\$ 0	\$ 0
10. Equipment	\$ 0	\$ 0	\$ 0
11. Miscellaneous	\$ 5,000	\$ 0	\$ 5,000
12. SUBTOTAL (sum of lines 1-11)	\$ 439,472	\$ 0	\$ 439,472
13. Contingencies	\$ 52,900	\$ 0	\$ 52,900
14. SUBTOTAL	\$ 492,372	\$ 0	\$ 492,372
15. Project (program) income	\$ 0	\$ 0	\$ 0
16. TOTAL PROJECT COSTS (subtract #15 from #14)	\$ 492,372	\$ 0	\$ 492,372
<b>FEDERAL FUNDING</b>			
17. Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter the resulting Federal share.	Enter eligible costs from line 16c Multiply X 50 %		\$ 246,186



## DISCLOSURE OF LOBBYING ACTIVITIES

Complete this form to disclose lobbying activities pursuant to 31 U.S.C. 1352

Approved by OMB

0348-0046

(See reverse for public burden disclosure.)

<b>1. Type of Federal Action:</b> <input type="checkbox"/> a. contract <input checked="" type="checkbox"/> b. grant c. cooperative agreement d. loan e. loan guarantee f. loan insurance	<b>2. Status of Federal Action:</b> <input type="checkbox"/> a. bid/offer/application <input type="checkbox"/> b. initial award <input type="checkbox"/> c. post-award	<b>3. Report Type:</b> <input type="checkbox"/> a. initial filing <input type="checkbox"/> b. material change <b>For Material Change Only:</b> year _____ quarter _____ date of last report _ _
<b>4. Name and Address of Reporting Entity:</b> <input checked="" type="checkbox"/> Prime <input type="checkbox"/> Subawardee Tier <u>  X  </u> , if known: _____  Congressional District, if known: <u>  2  </u>	<b>5. If Reporting Entity in No. 4 is a Subawardee, Enter Name and Address of Prime:</b>  Congressional District, if known: _____	
<b>6. Federal Department/Agency:</b> Northern Borders Regional Commission	<b>7. Federal Program Name/Description:</b> Northern Borders Regional Commission Investment Application  CFDA Number, if applicable: _____	
<b>8. Federal Action Number, if known:</b> 90.601	<b>9. Award Amount, if known:</b> \$ _____	
<b>10. a. Name and Address of Lobbying Registrant</b> <i>(if individual, last name, first name, MI):</i>	<b>b. Individuals Performing Services</b> <i>(including address if different from No. 10a)</i> <i>(last name, first name, MI):</i>	
<b>11.</b> Information requested through this form is authorized by title 31 U.S.C. section 1352. This disclosure of lobbying activities is a material representation of fact upon which reliance was placed by the tier above when this transaction was made or entered into. This disclosure is required pursuant to 31 U.S.C. 1352. This information will be available for public inspection. Any person who fails to file the required disclosure shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.	Signature: _____ Print Name: _____ Title: _____ Telephone No.: _____ Date: _____	
<b>Federal Use Only:</b>		Authorized for Local Reproduction Standard Form LLL (Rev. 7-97)

## INSTRUCTIONS FOR COMPLETION OF SF-LLL, DISCLOSURE OF LOBBYING ACTIVITIES

This disclosure form shall be completed by the reporting entity, whether subawardee or prime Federal recipient, at the initiation or receipt of a covered Federal action, or a material change to a previous filing, pursuant to title 31 U.S.C. section 1352. The filing of a form is required for each payment or agreement to make payment to any lobbying entity for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with a covered Federal action. Complete all items that apply for both the initial filing and material change report. Refer to the implementing guidance published by the Office of Management and Budget for additional information.

1. Identify the type of covered Federal action for which lobbying activity is and/or has been secured to influence the outcome of a covered Federal action.
2. Identify the status of the covered Federal action.
3. Identify the appropriate classification of this report. If this is a followup report caused by a material change to the information previously reported, enter the year and quarter in which the change occurred. Enter the date of the last previously submitted report by this reporting entity for this covered Federal action.
4. Enter the full name, address, city, State and zip code of the reporting entity. Include Congressional District, if known. Check the appropriate classification of the reporting entity that designates if it is, or expects to be, a prime or subaward recipient. Identify the tier of the subawardee, e.g., the first subawardee of the prime is the 1st tier. Subawards include but are not limited to subcontracts, subgrants and contract awards under grants.
5. If the organization filing the report in item 4 checks "Subawardee," then enter the full name, address, city, State and zip code of the prime Federal recipient. Include Congressional District, if known.
6. Enter the name of the Federal agency making the award or loan commitment. Include at least one organizational level below agency name, if known. For example, Department of Transportation, United States Coast Guard.
7. Enter the Federal program name or description for the covered Federal action (item 1). If known, enter the full Catalog of Federal Domestic Assistance (CFDA) number for grants, cooperative agreements, loans, and loan commitments.
8. Enter the most appropriate Federal identifying number available for the Federal action identified in item 1 (e.g., Request for Proposal (RFP) number; Invitation for Bid (IFB) number; grant announcement number; the contract, grant, or loan award number; the application/proposal control number assigned by the Federal agency). Include prefixes, e.g., "RFP-DE-90-001."
9. For a covered Federal action where there has been an award or loan commitment by the Federal agency, enter the Federal amount of the award/loan commitment for the prime entity identified in item 4 or 5.
10. (a) Enter the full name, address, city, State and zip code of the lobbying registrant under the Lobbying Disclosure Act of 1995 engaged by the reporting entity identified in item 4 to influence the covered Federal action.  
  
(b) Enter the full names of the individual(s) performing services, and include full address if different from 10 (a). Enter Last Name, First Name, and Middle Initial (MI).
11. The certifying official shall sign and date the form, print his/her name, title, and telephone number.

According to the Paperwork Reduction Act, as amended, no persons are required to respond to a collection of information unless it displays a valid OMB Control Number. The valid OMB control number for this information collection is OMB No. 0348-0046. Public reporting burden for this collection of information is estimated to average 10 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0046), Washington, DC 20503.



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**Certification Regarding  
Debarment, Suspension, and Other Responsibility Matters  
Primary Covered Transactions**

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This certification is required by the regulations implementing Executive Order 12549 and 1268, 2 CFR part 180.


**(BEFORE COMPLETING CERTIFICATION, READ INSTRUCTIONS ON REVERSE)**

- (1) The prospective primary participant certifies to the best of its knowledge and belief that it and its Board:
- (a) Are not presently debarred, suspended, proposed for disbarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
  - (b) Have not within a three-year period preceding this application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State, or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
  - (c) Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State, or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
  - (d) Have not within a three-year period preceding this application had one or more public transactions (Federal, State, or local) terminated for cause or default.
- (2) Where the prospective primary participant is unable to certify to any of the statements in this certification, such prospective primary participant shall attach an explanation to this proposal.

Organization Name: City of Auburn, Maine

Date 6/17/16

By Howard Kroll, City Manager  
Name and Title of Authorized Representative

X   
Signature of Authorized Representative

## INSTRUCTIONS FOR CERTIFICATION

1. By signing and submitting this proposal, the prospective primary participant is providing the certification set out below.

2. The inability of a person to provide the certification required below will not necessarily result in denial of participation in this covered transaction. The prospective participant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with the department or agency's determination whether to enter into this transaction. However, failure of the prospective primary participant to furnish a certification or an explanation shall disqualify such person from participation in this transaction.

3. The certification in this clause is a material representation of fact upon which reliance was placed when the department or agency determined to enter into this transaction. If it is later determined that the prospective primary participant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.

4. The prospective primary participant shall provide immediate written notice to the department or agency to which this proposal is submitted if at any time the prospective primary participant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.

5. The terms "covered transaction," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal," and "voluntarily excluded," as used in this clause, have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact the department or agency to which this proposal is submitted for assistance in obtaining a copy of those regulations (13 CFR Part 145).

6. The prospective primary participant agrees by submitting this proposal that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by the department or agency entering into this transaction.

7. The prospective primary participant further agrees by submitting this proposal that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion--Lower Tier Covered Transactions," provided by the department or agency entering into this covered transaction, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions.

8. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the ineligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.

9. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.

10. Except for transactions authorized under paragraph 6 of these instructions, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended, debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal Government, the department or agency may terminate this transaction for cause or default.



# Congress of the United States

Washington, DC 20515

June 8, 2016

Mr. Mark Scarano  
Federal Co-Chair  
Northern Border Regional Commission  
53 Pleasant Street  
Concord, NH 03301

Dear Mr. Scarano:

We write in support of the application submitted by the City of Auburn, Maine, to the Northern Border Regional Commission (NBRC) Economic and Infrastructure Development Program. The City proposes to make improvements to the economic district by advancing phase one of the New Auburn Riverway and Greenway Redevelopment Plan to create a new village center in Auburn.

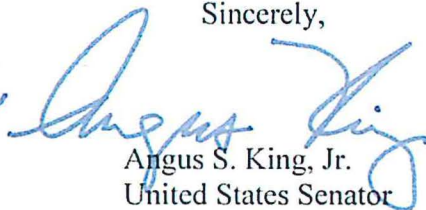
The City of Auburn is working to enhance economic development in the area by creating new retail and housing development opportunities. If funded, the City's project would help establish a public greenway system that ties into the larger regional trail system for downtown Lewiston and Auburn, and create new pedestrian-friendly access to help support farmers' markets and cultural events. With NBRC's support, in phase one of the plan, the City is looking to complete a larger, more unified public parking area, add new workable building pad areas outside of the 100-year floodplain, create the beginning of the new Riverway, and help support ancillary improvements to Broad Street for better sidewalks, bike lanes, and traffic patterns.

Thank you for your time and effort on behalf of the City of Auburn. We request the NBRC's careful consideration of this application, subject to all applicable laws and regulations, and ask that you please notify Katie Seelen (Collins) at (202) 224-2523, Adam Lachman (King) at (202) 224-5344, and Sam Warren (Poliquin) at (207) 784-0768 when a final decision has been made.

Sincerely,



Susan M. Collins  
United States Senator



Angus S. King, Jr.  
United States Senator



Bruce Poliquin  
Member of Congress



Lewiston Auburn  
METROPOLITAN  
CHAMBER of COMMERCE

June 14, 2016

Douglas M. Greene, City Planner  
City of Auburn  
60 Court Street  
Auburn ME 04210


Dear Douglas,

The Lewiston Auburn Metropolitan Chamber of Commerce supports the application submitted by the City of Auburn to the Northern Border Regional Commission Economic and Infrastructure Development Program.

The proposed changes to the New Auburn riverway and greenway will enhance local business, commerce and community. Improving the roadway, utility, pedestrian, streetscape and taking advantage of the river's scenic and recreational opportunities will make the area more desirable to investors, visitors and residents.

Please accept this letter in support of the City of Auburn's grant application to improve the New Auburn greenway and riverway.

Sincerely,

  
Matt J. Leonard  
President & CEO

Build.  
Lead.  
Thrive.

415 Lisbon Street  
Lewiston, ME 04240

207-783-2249 TEL  
207-783-4481 FAX

[LAMetroChamber.com](http://LAMetroChamber.com)



**Leroy G. Walker**  
**41 Broad Street**  
**Auburn, Maine 04210**  
**(207) 577-2694**

Mr. Doug Greene, City Planner  
City of Auburn  
60 Court Street  
Auburn, Maine 04210

Re: Grant Funds

Dear Doug:

I just wanted to let you know how excited some of the New Auburn businesses are to know that you have written a grant to fund the Master Plan for the New Auburn Area. We finally can see a light at the end of the tunnel that will move this Master Plan forward.

There are several businesses in the Master Plan area that have plans to improve their businesses, once this Master Plan comes to fruition. All About You Salon, which resides in a building that I own on Broad Street is one of those businesses. An addition to this salon will create more employment opportunities because the business has a dream of having a beauty school on the premises.

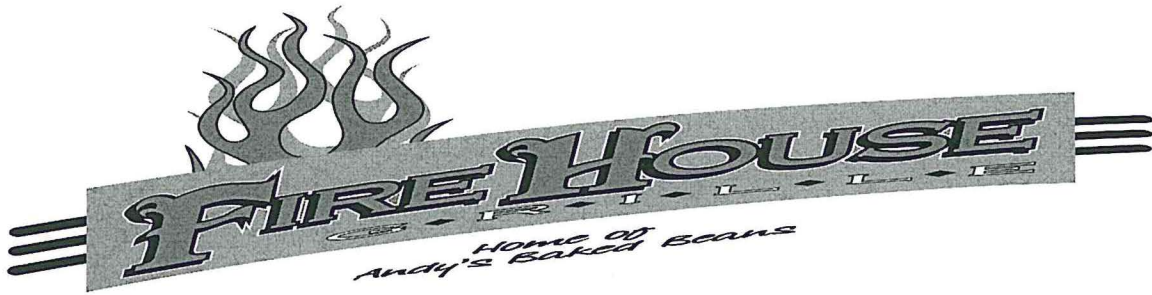
The new owners of the Firehouse Grill are interested in doubling the size of their business. Rolly's Diner and Selco Plumbing and Heating are also interested in making considerable improvements!

This is our chance to build our New Auburn Community economically and draw others to the area to create businesses and job opportunities. New Auburn will be given a shot in the arm to be able to grow and expand, to stimulate every aspect of the community.

We just may be able to put New Auburn on the map.

Sincerely,

Leroy G. Walker, Sr.



June 1, 2016

To Whom It May Concern:

My name is Kevin Pacheco. I am the owner of The Fire House Grill located at 47 Broad St. in Auburn, Maine.

I have been apprised of the city's plans to redevelop a New Auburn Village Center. These plans create a destination by the river with outdoor recreation and space to hold events adding much needed parking and lighting for the area and local businesses. My restaurant directly benefits from this much needed upgrade. The current parking options are limited, costing us a loss in patrons. These plans increase public parking and associated traffic to the area, which will allow The Fire House to grow in multiple ways; I can support the hiring of more employees with the increased patronage and promoting part timers to full time, the safety of my employees and guests increases with added lighting, an improved parking and traffic patterns allows for more convenient vehicle access, and my plans to expand can considered much sooner.

Also, creating such a vibrant community asset has me considering additional investment in the area.

In closing, I support the city of Auburn's plans for Phase 1 of the New Auburn Village Center.

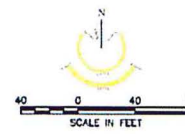
Kevin Pacheco



**Existing Conditions**

New Auburn Village Center  
**Phasing Strategy**

5.19.2016





**Timeline  
1 - 5 Years**



**Phase 1 New Development**  
 7,650 Sq. Ft Commercial (1st Floor)  
 15,300 Sq. Ft. Residential (Floors 2-3)  
 68 New Public Parking Spaces  
 10 New Private Parking Spaces

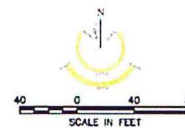
**Possible Funding Sources**  
 Northern Border Reg. Commission  
 Land & Water Conservation Fund  
 MPI Intersection Improvements  
 BPI Intersection Improvements

**Phase 1  
Establish Broad St. Access - Overall Plan**

New Auburn Village Center 4 of 15

**Phasing Strategy**

5.19.2016

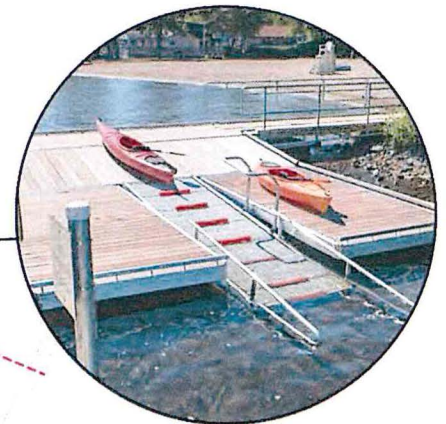




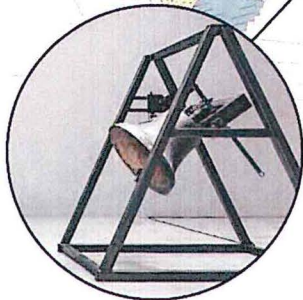
Cantilevered Overlook



Graded Amphitheater



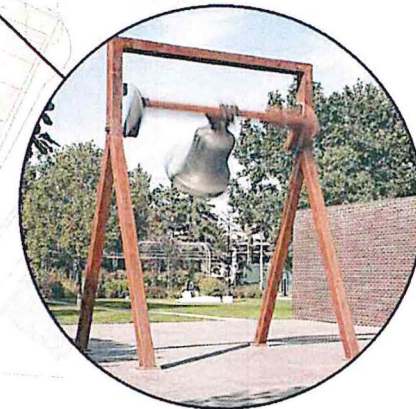
Accessible Floating Kayak Launch



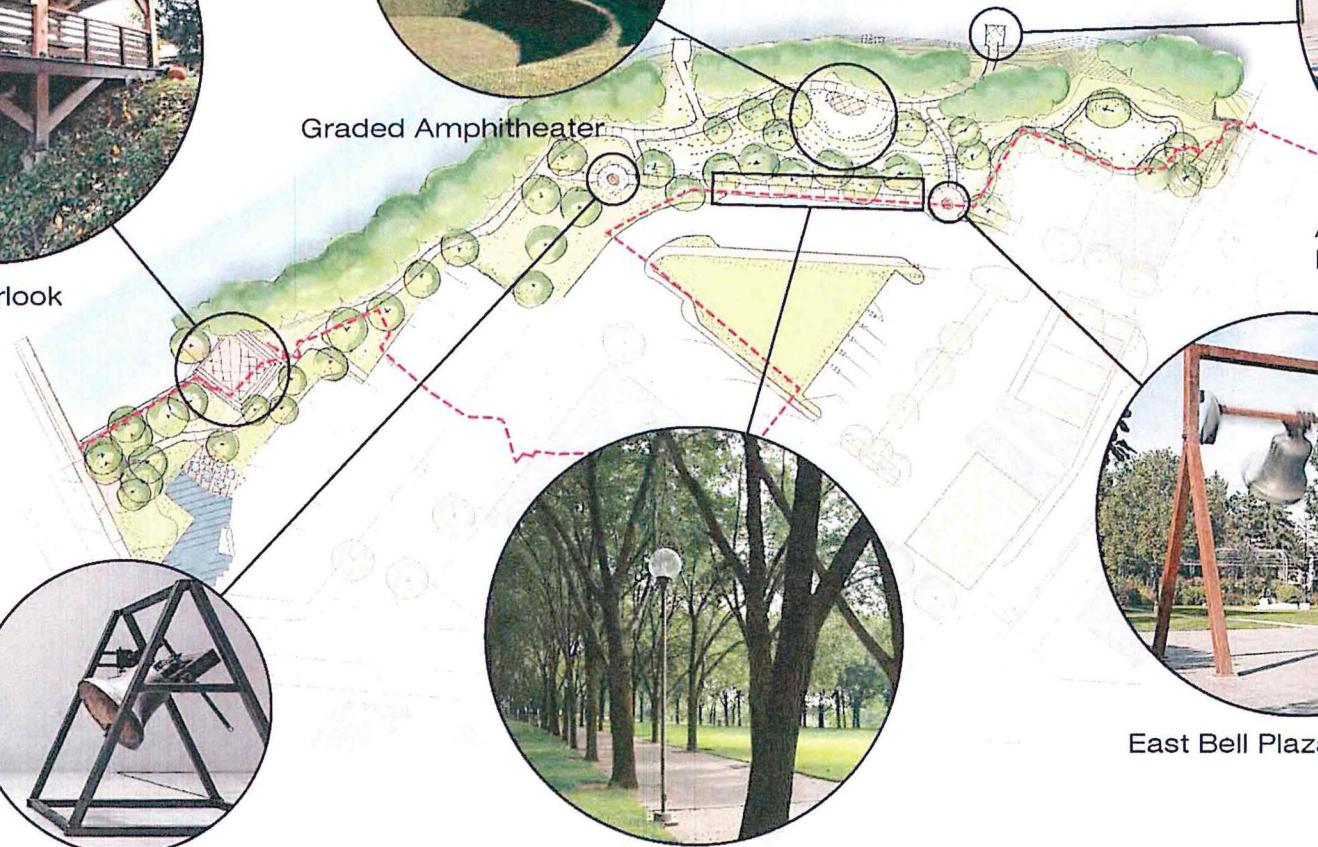
West Bell Plaza



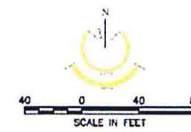
Hilltop Promenade



East Bell Plaza



**Phase 2**  
**Construction of Greenway & Adjacent Riverway Segment - Features**







History + Education



Wildlife Watching



Accessible Fishing



Hiking + Cycling

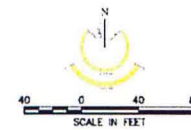


Outdoor Markets + Events

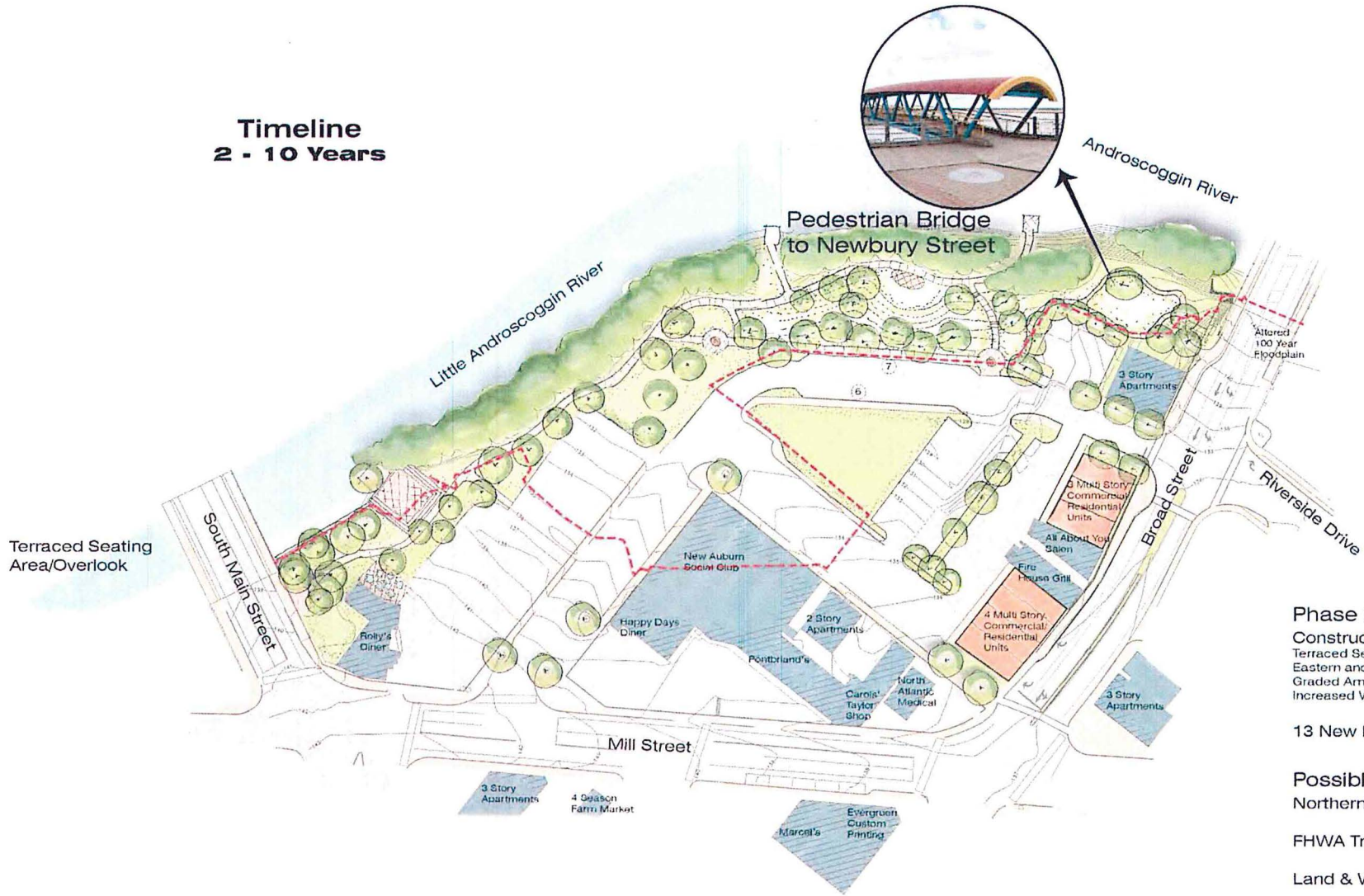


kayaking + Canoeing

**Phase 2**  
**Construction of Greenway & Adjacent Riverway Segment - Activities**



**Timeline  
2 - 10 Years**

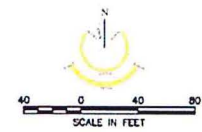


**Phase 2 New Development**  
 Construction of Greenway:  
 Terraced Seating Area/Overlook  
 Eastern and Western Bell Plazas  
 Graded Amphitheater  
 Increased Water Access

13 New Public Parking Spaces

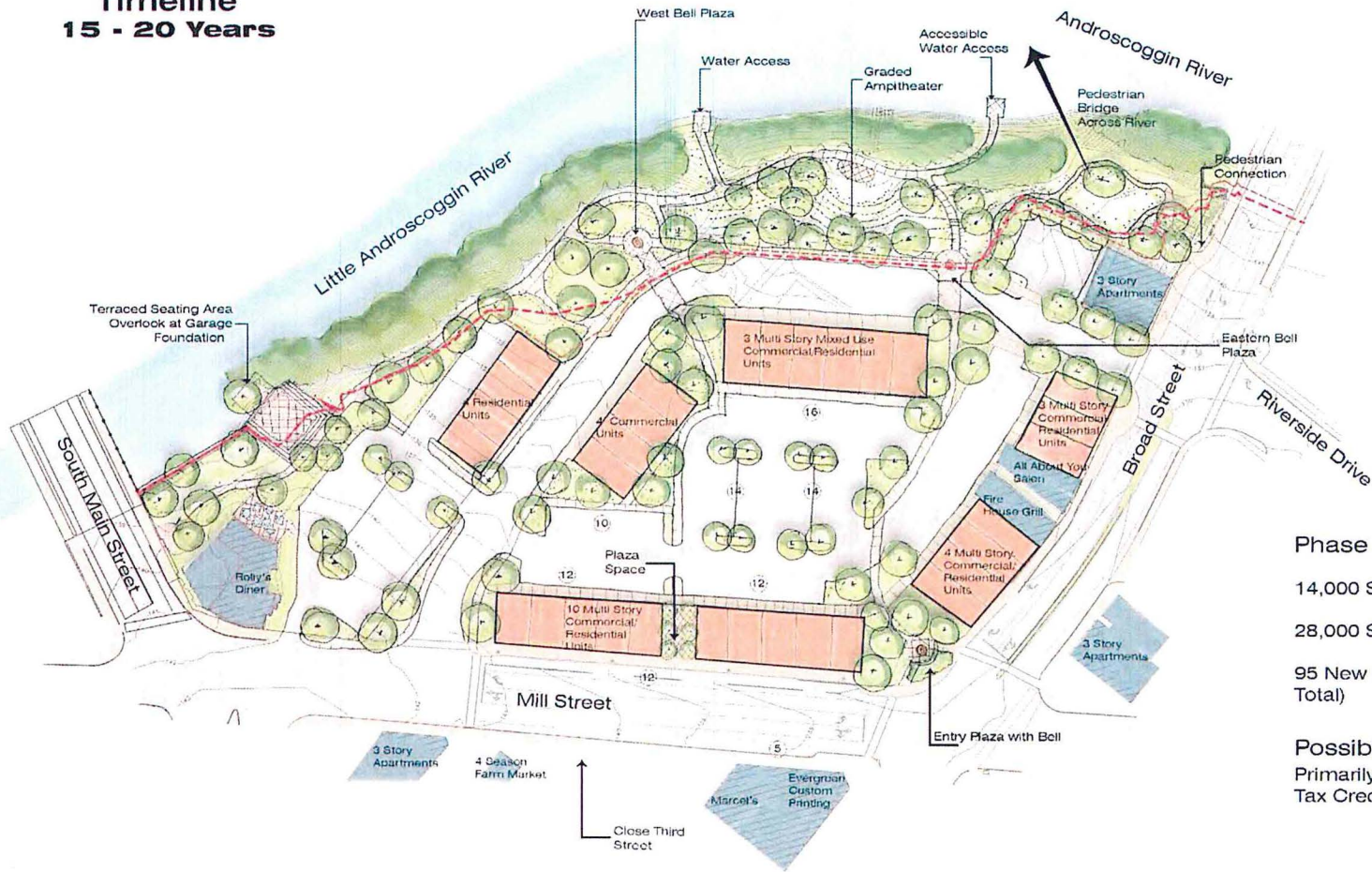
**Possible Funding Sources**  
 Northern Border Reg. Commission  
 FHWA Transportation Alternatives  
 Land & Water Conservation Funds

**Phase 2  
Construction of Greenway & Adjacent Riverway Segment - Overall Plan**





**Timeline  
15 - 20 Years**



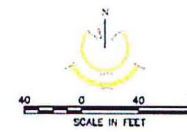
**Phase 4 New Development**  
 14,000 Sq. Ft. Commercial (1st Floor)  
 28,000 Sq. Ft. Residential (Floors 2-3)  
 95 New Public Parking Spaces (186 Total)  
 Possible Funding Sources  
 Primarily Private Investments  
 Tax Credits, TIF, Etc.

**Phase 4  
Completed Master Plan - Overall Plan**

New Auburn Village Center 15 of 15

**Phasing Strategy**

5.19.2016





## MEMORANDUM

**TO:** Doug Greene, City Planner  
Department of Planning & Permitting – City of Auburn  
60 Court Street  
Auburn, Maine 04210

**FROM:** RKG Associates, Inc.

**DATE:** October 1, 2015

**SUBJECT:** New Auburn Village Center SWOT Analysis

RKG Associates Inc. (RKG), on behalf of the City of Auburn, Maine, offers the following SWOT (strengths, weaknesses, opportunities and threats) analysis of the City's proposed repositioning of key riverfront parcels (refer to Map 1 for an aerial view of the general boundaries) in order to realize a more vibrant, pedestrian style village center in New Auburn, with increased economic activity and contributory values.



Map 1 – New Auburn Village Center

This SWOT analysis reflects RKG's review of existing information and prior studies regarding the proposed New Auburn Urban Village Center; a walking tour of the properties and observation of the existing conditions in the analysis area (as well as elsewhere in the City); and, meetings and discussions with representatives of the City. These quantitative and qualitative factors, combined with RKG's experience on similar projects elsewhere, result in the following findings, recommendations (some of which are posed as questions yet to be answered) and suggested action items to assist the City in moving forward on this transformative project (the Plan).

### Strengths

- Projects are underway (or pending) elsewhere in the City that are supportive and contributory to the proposed Plan.
- There is an engaged and active City government and community participatory process that have worked to develop a desired vision.

- **Action** – The consultants understand that the City maintains an e-mail list to keep neighbors and residents aware of pending meetings for the New Auburn Village Center plan. RKG would also suggest maintaining a

### Opportunities

- The Plan provides the City with the opportunity to re-position an underutilized (especially in terms of tax contribution) waterfront asset to the City.
- The City's ownership or control of certain parcels, as well as the ability to influence transportation layout and streetscapes (the public realm) allows it to be an active "player" in redevelopment and deal with private development interests on an equal footing.
- The Plan provides a forum for marketing the City as whole.
  - **Action** – Develop marketing and branding strategies in conjunction with area businesses and creative personnel. How to "sell" Auburn (e.g. *the best City outside of Portland*) as an affordable and desirable alternative to Portland for targeted demographics. In RKG's opinion, the residential occupancy for the New Auburn Village Center will largely need to originate from outside the City and perhaps the county (refer to Table 1 that follows).
    - A possible exception may be the retirement (aged 65 and older) population seeking to downsize their housing and remain in (or move to) Auburn due to the environment and level of amenities it provides.

### Threats

- Limited market growth (refer to Table 2 that follows). Without an infusion of new households (owners and renters) with disposable incomes, slow absorption of the residential component will hinder development (timing) of retail and service uses.
- Pending or pipeline projects in Lewiston, Portland or its other suburbs that could serve as competitive alternatives that would reduce demand for market rate units in New Auburn Village.
- Price points to make the financing "work" either for residential developers or would-be commercial tenants exceeds the existing market's affordability capacity. In other words, without subsidies or other favorable financing, the costs to build exceed the potential return.

**Consider** the following hypothetical development of a 24,000 square foot (SF) four story mixed-use building (refer to the Table below), with ground floor commercial and upper residential (15 units @ 1,200/SF each). Assuming construction costs (hard and soft) of \$120 per SF for the ground floor commercial, this represents a \$720,000 investment (excluding the land). The estimated required rents necessary to generate an acceptable rate of return on this investment (at a very conservative 8% capitalization rate) would be around \$12 to \$15 per SF, likely exceeding the local market (at least what existing commercial tenants in the Village Center are now paying).



SELECTED POPULATION	Census 2010	Estimated 2015	Change #	Change %	% of Total Pop 2010 2015		Projected 2020	# Δ 2015	% Δ 2015
<b>New Auburn, ME 1/</b>									
Total Population	2,030	2,110	80	3.9%			2,099	(11)	-0.5%
20 to 34 Years	474	487	13	2.7%	23.4%	23.1%	464	(23)	-4.7%
35 to 54 Years	561	583	22	3.9%	27.6%	27.6%	566	(17)	-2.9%
65 and Older	282	299	17	6.0%	13.9%	14.2%	328	29	9.7%
Median Age	35.5	36.1	0.6	1.7%			36.7	0.6	1.7%
<b>Auburn, ME</b>									
Total Population	23,055	23,058	3	0.0%			23,236	178	0.8%
20 to 34 Years	4,406	4,483	77	1.7%	19.1%	19.4%	4,460	(23)	-0.5%
35 to 54 Years	6,521	6,245	(276)	-4.2%	28.3%	27.1%	6,084	(161)	-2.6%
65 and Older	3,515	3,684	169	4.8%	15.2%	16.0%	3,983	299	8.1%
Median Age	39.9	40.7	0.8	2.0%			41.0	0.4	0.9%
<b>Androscoggin County, ME</b>									
Total Population	107,702	107,383	(319)	-0.3%			109,521	2,138	2.0%
20 to 34 Years	19,926	19,997	71	0.4%	18.5%	18.6%	20,347	350	1.8%
35 to 54 Years	31,470	29,626	(1,844)	-5.9%	29.2%	27.6%	28,761	(865)	-2.9%
65 and Older	15,184	16,424	1,240	8.2%	14.1%	15.3%	18,505	2,081	12.7%
Median Age	39.8	40.6	0.8	2.0%			41.1	0.5	1.2%

Source : U S Census, Alteryx and RKG Associates, Inc. (2015)  
1/ Approximately census tract 105

**Table 1 – Selected Population Characteristics**

**Housing** – Housing growth in New Auburn is concentrated among renter households, likewise for the City and the county. The relatively lower median household incomes in New Auburn and the City also suggest a limited affordability for newer owner housing (at least for this demographic).

SELECTED HOUSING	Census 2010	Estimated 2015	Change #	Change %	% of Households 2010 2015		Projected 2020	# Δ 2015	% Δ 2015
<b>New Auburn, ME 1/</b>									
Occupied Units	940	980	40	4.3%			978	(2)	-0.2%
Owner Households (% of Occ)	294	271	(23)	-7.8%	31.3%	27.7%	266	(5)	-1.8%
Renter Households (% of Occ)	646	709	63	9.8%	68.7%	72.3%	712	3	0.4%
Vacant Units	84	90	6	7.1%			94	4	4.4%
Median Household Income	\$29,903	\$34,241	\$4,338	14.5%			\$38,606	\$4,365	12.7%
<b>Auburn, ME</b>									
Occupied Units	9,974	9,988	14	0.1%			10,107	119	1.2%
Owner Households (% of Occ)	5,715	5,495	(220)	-3.8%	57.3%	55.0%	5,580	85	1.5%
Renter Households (% of Occ)	4,259	4,493	234	5.5%	42.7%	45.0%	4,527	34	0.8%
Vacant Units	1,042	1,063	21	2.0%			1,100	37	3.5%
Median Household Income	\$37,964	\$41,662	\$3,698	9.7%			\$50,272	\$8,610	20.7%
<b>Androscoggin County, ME</b>									
Occupied Units	44,315	44,127	(188)	-0.4%			45,170	1,043	2.4%
Owner Households (% of Occ)	28,544	27,617	(927)	-3.2%	64.4%	62.6%	28,448	831	3.0%
Renter Households (% of Occ)	15,771	16,510	739	4.7%	35.6%	37.4%	16,722	212	1.3%
Average HH Size	4,775	4,911	136	2.8%			5,121	210	4.3%
Median Household Income	\$40,531	\$44,898	\$4,367	10.8%			\$53,316	\$8,418	18.7%

Source : U S Census, Alteryx and RKG Associates, Inc. (2015)  
1/ Approximately census tract 105

**Table 2 – Selected Housing Characteristics**